

OCT 15 2004

PTO/SB/21 (04-04)

TRANSMITTAL  
FORM

(to be used for all correspondence after initial filing)

Application Number	10/632,467
Filing Date	August 1, 2003
First Named Inventor	Haruaki Watanabe
Art Unit	2186
Examiner Name	Unassigned
Attorney Docket Number	16869K-088500US

Total Number of Pages in This Submission


## ENCLOSURES (Check all that apply)

- |  |   |   |
|--|---|---|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Technology Center (TC)<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>USPTO form SB08A (one page), Return Postcard |
|--|---|---|

Remarks

The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

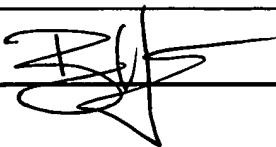
Firm or Individual name	Townsend and Townsend and Crew LLP Chun-Pok Leung	Reg. No. 41,405
Signature		
Date	10-12-04	

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

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Date

10/12/04



PATENT  
Attorney Docket No.: 16869K-088500US  
Client Reference No.: 626/SM/co

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Haruaki WATANABE, et al.

Application No.: 10/632,467

Filed: August 1, 2003

For: METHOD OF MANAGING  
GENERATION OF BACKUP DATA  
AND STORAGE CONTROL DEVICE  
USED THEREFOR

Examiner: Unassigned

Art Unit: 2186

SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT UNDER 37  
CFR §1.97 and §1.98

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A are being called to the attention of the Examiner. All cited references are published U.S. patents or applications. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the

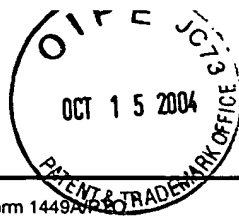
undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Chun-Pok Leung  
Reg. No. 41,405

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60330584 v1



<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)			<b>Complete if Known</b>		
			Application Number	10/632,467	
			Filing Date	August 1, 2003	
			First Named Inventor	Haruaki Watanabe	
			Art Unit	2186	
			Examiner Name	Unassigned	
Sheet	1	of	1	Attorney Docket Number	16869K-088500US

U.S. PATENT DOCUMENTS+					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code <sup>2</sup> (if known)			
	1	6,718,352	04-06-2004	Dang et al.	
	2	2004/0010732	01-15-2004	Oka	
	3	6,654,752	11-25-2003	Ofek	
	4	2003/0182329	09-25-2003	Sato	
	5	6,611,850	08-26-2003	Shen	
	6	2003/0126388	07-03-2003	Yamagami	
	7	2003/0088592	05-08-2003	Innan et al.	
	8	6,549,921	04-15-2003	Ofek	
	9	2003/0069889	04-10-2003	Ofek	
	10	6,505,216	01-07-2003	Schutzman et al.	
	11	6,442,551	08-27-2002	Ofek	
	12	6,397,229	05-28-2002	Menon et al.	
	13	6,353,878	03-05-2002	Dunham	
	14	2001/0049776	12-06-2001	Maeda	
	15	2001/0013102	08-09-2001	Tsuchiya et al.	
	16	6,269,381	07-31-2001	St. Pierre et al.	
	17	6,101,497	08-08-2000	Ofek	
	18	5,857,208	01-05-1999	Ofek	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.